

<b>NEW UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</i>	<b>Docket No.</b> <b>23435-007-403</b>
	<b>Total pages in this submission</b>

**TO THE COMMISSIONER FOR PATENTS**  
**Mail Stop Patent Application**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**SOUND SYSTEM AND METHOD FOR CREATING A SOUND EVENT BASED ON A MODELED SOUND FIELD**

And invented by:

Randall B. Metcalf

**IF A CONTINUATION APPLICATION**, check appropriate box and supply requisite information:

☒

 Continuation of 10/230,989 filed 8/30/2002, which is a continuation of 09/864,294 filed 5/25/2001 (now USP 6,444,892), which is a continuation of 09/393,324 filed 9/10/1999 (now USP 6,239,348)

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below

2. ☒ Specification having 19 pages and including the following:

a. ☒ Background of the invention

b. ☐ Cross references to related applications *(if applicable)*

c. ☐ Statement regarding Federally-sponsored research/development *(if applicable)*

d. ☐ Reference to microfiche appendix *(if applicable)*

e. ☐ Discussion of the background


f. ☒ Summary of the invention

g. ☒ Brief description of the drawings

h. ☒ Detailed description of the preferred embodiments

i. ☒ Claims as classified below

j. ☒ Abstract of the disclosure

22241 U.S. PTO  
10/705861  
  
111303

**Application Elements (continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 U.S.C. 113)*  
☒ Formal ☐ Informal Number of sheets: 3 (Figs. 1-4)
4. ☒ Oath or Declaration  
a. ☐ Newly executed (original or copy) ☐ Unexecuted  
b. ☒ Copy from a prior application (37 C.F.R. 1.63(d) *(for continuation/divisional applications only)*)  
c. ☒ With Power of Attorney ☐ Without Power of Attorney
5. ☒ Incorporation by reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer program in microfiche
7. ☐ Genetic sequence submission *(if applicable, all must be included)*  
a. ☐ Paper copy  
b. ☐ Computer readable copy  
c. ☐ Statement verifying identical paper and computer readable copies

**Accompanying Application**

8. ☐ Assignment papers *(cover sheet & document(s))*
9. ☐ 37 C.F.R. 3.73(b) statement *(when there is an assignee)*
10. ☐ English translation document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☐ Certified copy of priority document(s) *(if foreign priority is claimed)*
15. ☐ Certificate of Mailing  
☐ First Class ☐ Express Mail (Label No.: \_\_\_\_\_ )
16. ☒ Small Entity claimed \_\_\_\_\_

Acc mpanying Applicati n (continu d)

- 17.
- ☒
- Additional enclosures (please identify below):

Application Data Sheet

Fee Calculation and Transmittal

The filing fee for this utility patent application is calculated and transmitted as follows:

☐ Large Entity ☒ Small Entity

<u>CLAIMS AS FILED</u>						
For	# Filed	# Allowed	# Extra	Rate	Fee	
Total Claims	24	- 20 =	4	x \$9.00	\$36.00	
Independent Claims	4	- 3 =	1	x \$43.00	\$43.00	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>						
Other Fees (specify purpose):						
BASIC FEE					\$385.00	
TOTAL FILING FEE					\$464.00	

☒ A check in the amount of \$464.00 to cover the total filing fee is enclosed.☒ The Commissioner is hereby authorized to charge and Deposit Account No. 50-0311 as described below. A duplicate copy of this sheet is enclosed.☐ Charge the amount of \_\_\_\_\_ as filing fee.☒ Credit any overpayment.☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant


James G. Gatto

Attorney Reg. No.: 32,694

Dated: November 13, 2003

CUSTOMER NO. 29315